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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

FORM FIRST Named Inventor  CHAI  Group Art Unit  Examiner Name  D. M. DANG  Attorney Docket Number  Assignment Papers  (for an Application)  Fee Transmittal Form  Assignment Papers  (for an Application)  Petition  Petition  Petition  Petition  Proprietary Information  Affidavits/declaration(s)  Extension of Time Request  Information Disclosure Statement  Certified Copy of Priority  Document(s)  Response to Missing Parts/ Incomplete Application  Signature  Certified Copy of States and Incomplete Application  Response to Missing Parts/ Individual name  Signature  Certified Copy of States and Incomplete Application  Response to Missing Parts/ Individual name  Certified Copy of States and Incomplete Application  Response to Missing Parts/ Individual name  Response to Missing Parts/ Individual name  Signature  Certified Copy of States and States and States and States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:  Certified Copy of States and Incomplete Application  Response to Missing Parts/ Individual name  Response to Missing Parts/ Individu	9/								
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### PATENT APPLICATION

In re Application of: Bing-Bing Chai

Docket #: **SAR 13151** 

Serial No.: 09/377,383

Filed: **August 19, 1999** 

Group Art Unit: 2621

Examiner: Duy M Dang

Title: APPARATUS AND METHOD FOR FORMING A CODING UNIT

## REPLY BRIEF

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

This Reply Brief is filed under the provisions of 37 CFR 1.193(b) in response to the Examiner's Answer dated August 14, 2001.

## IDENTIFICATION OF, AND REPLY TO, NEW POINTS OF ARGUMENT

The following points of argument have been either newly presented in the Examiner's Answer or presented in a different light by the Examiner than earlier in the record.

(1) On page 2 of the Examiner's Answer, the Examiner stated that "the rejection of claims 1-13 stand or fall together because appellant's brief does not include a statement that this grouping of claims does not stand or fall together and reasons in support thereof." The Examiner is incorrect.

Specifically, Appellant recited such a statement on page 6 of the Appeal Brief under the heading "Grouping of Claims". Additionally, Appellant provided various arguments in support of allowance for all the claims that are currently pending. Thus, Appellant <u>again</u> urges that each of the rejected claims stands

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Noted. pul on its own recitation, with the claims being considered to be separately patentable for reasons set forth in the Appeal Brief and in this present Reply Brief.

(2) On page 6 of the Examiner's Answer, the Examiner has misconstrued the Shapiro reference. The Examiner alleged that "an encoded data of 'HH1', for example, refers to payload having a texture unit consisting only AC coefficient[)] from a single subband of a hierarchical subband decomposed image (see figure 3 and col. 4, lines 8-23)". The Examiner is simply incorrect.

First, the very paragraph cited by the Examiner has absolutely no disclosure or teaching as to the image processing step of "encoding". Instead, the paragraph cited by the Examiner discloses a wavelet decomposition or wavelet transformation. Specifically, Shapiro states that "for a wavelet hierarchical subband decomposition, the image is decomposed using times two subsampling into high horizontal-high vertical (HH), high horizontal –low vertical (HL), low horizontal-high vertical (LH), and low horizontal-low vertical (HH), frequency subbands". (See Shapiro, Column 4, lines 8-12). Image decomposition is <u>not</u> image encoding. Thus, the paragraph cited by the Examiner absolutely does not teach or suggest the encoding of data of HH1 to a payload having a texture unit consisting only AC coefficient. This conclusion is unfounded.

Second, assuming, *arguendo*, that Shapiro does teach the general concept of encoding, the Examiner is still incorrect. The concept of encoding an image is <u>not</u> equivalent to the concept of packetizating a bitstream into one or more packets. Packetization encapsulates encoded bitstreams into packets for transportation and have criticalities that completely different from the encoding process. Thus, the Shapiro reference will not anticipate the claimed feature.

(3) On page 6 of the Examiner's Answer, the Examiner has misconstrued Appellant's claims. The Examiner alleged that "it is noted that 'packetization structure' is not recited in the rejected claims". The Examiner is incorrect.

Appellant's independent claims 1-3 and 6 recite a **packet header** followed by a payload in the body of the claim. Thus, the term "data structure" in Appellant's preamble is a packetization structure with specific recitation in the body of the claim. Similarly, Appellant's independent method claims 7, 8, 9, 12 and 13 all recite "a method of packetizing" in the preamble with the step of "**generating a packet header**" as a step in the body of all the method claims. Thus, Appellant clearly and distinctly claimed a packetization structure and a packetization method.

(4) On page 8 of the Examiner's Answer, the Examiner alleged that "it is noted that the Examiner does not understand what Appellant are arguing about because the Examiner does not see any where in the current claims reciting such features". It should be noted that the features are realized when each packet is constructed in the manner as claimed by the Appellant. In other words, the error resilient features are inherent if the packets are constructed in a manner as claimed by the Appellant. Thus, Appellant is only required to claim the novel structures and/or the inventive steps without having to recite the inherent benefits of such novel structures into Appellant's claims.

#### CONCLUSION

For the reasons advanced above and in Appellant's Appeal Brief,
Appellant respectfully urges that the rejections of claims 1-13 as being
unpatentable under 35 U.S.C. § 102 are improper. Reversal of the rejections in
this appeal is respectfully requested.

To the extent necessary, a petition for an exten sion of time under 37 C.F.R. 1.136 is hereby made. If necessary, please charge any shortage in fees due in connection with the filing of this paper,

including extension of time fees, to Deposit Account 20-0782 and please credit any excess fees to such deposit account.

10/15/01

Respectfully submitted,

Kin-Wah Tong

Attorney for the Appellant

Reg. No. 39,400 (732) 530-9404

Moser, Patterson & Sheridan, LLP Attorneys at Law 595 Shrewsbury Ave. 1<sup>st</sup> Floor Shrewsbury, New Jersey 07702

### \*\*\*FIRST CLASS MAIL CERTIFICATION\*\*\*

I hereby certify that this paper or fee is being deposited on <u>October 15</u>, <u>2001</u> with the United States Postal Service as first class mail under 37 CFR 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of person mailing paper or fee

Name of person mailing paper or fee